

# Compliance Pathways

Unified platform for all compliance management needs

## Addressing your biggest challenges and questions

Regulatory burden continues to grow, led by complexity from new expectations and changes. The roles and responsibilities of Compliance and Risk Officers are expanding. At the same time, compliance management continues to be fragmented, siloed, manual and time intensive.

Institutions are spending too much time managing exposure to non-compliance, or don't have immediate and ready access to regulatory information. Many are not confident about their firm's regulatory management and record keeping and are having challenges tracking regulatory examination requests, enforcement actions, and activities. Compliance Pathways fills in all these gaps and more, increasing efficiencies along the regulatory value chain.

## Future proof your compliance

PwC's Compliance Pathways, powered by Salesforce, is a modular and integrated end to end compliance management platform. The flexible modules can be applied to immediate pain points while allowing for longer term end to end automation, thus increasing coverage and reducing compliance risks and costs.

## Capabilities of Compliance Pathways

- Comprehensive regulatory feeds
- Operational process flows
- Regulatory process mapping
- Regulatory gap assessment
- Risk and control assessment
- Controls testing and monitoring
- Document governance
- Vendor management
- Third Party Risk management
- Complaints management
- Issue management
- Training
- Real-time actionable insights & reports
- Task assignment & collaboration

## PwC Contacts

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## Benefits

Compliance Pathways is a modular platform to immediately strengthen any aspect of the regulatory management process while allowing companies to build a modern, future proof, end to end compliance solution. It provides comprehensive continuous regulatory updates and improves visibility into areas of non-compliance.